# Local Government & Social Care OMBUDSMAN 50 years 1974 - 2024

17 July 2024

By email

Dr Moir Chief Executive Cambridgeshire County Council

Dear Dr Moir

#### Annual Review letter 2023-24

I write to you with your annual summary of complaint statistics from the Local Government and Social Care Ombudsman for the year ending 31 March 2024. The information offers valuable insight about your organisation's approach to complaints, and I know you will consider it as part of your corporate governance processes. As such, I have sought to share this letter with the Leader of your Council and Chair of the appropriate Scrutiny Committee, to ensure effective ownership and oversight of complaint outcomes, which offer valuable opportunities to learn and improve. In addition, this year, we have encouraged Monitoring Officers to register to receive the letter directly, supporting their role to report the decisions we uphold to their council.

For most of the reporting year, Paul Najsarek steered the organisation during his tenure as interim Ombudsman, and I was delighted to take up the role of Ombudsman in February 2024. I look forward to working with you and colleagues across the local government sector to ensure we continue to harness the value of individual complaints and drive and promote systemic change and improvement across the local government landscape.

While I know this ambition will align with your own, I am aware of the difficult financial circumstances and service demands that make continuous improvement a challenging focus for the sector. However, we will continue to hold organisations to account through our investigations and recommend proportionate actions to remedy injustice. Despite the challenges, I have great confidence that you recognise the valuable contribution and insight complaints, and their swift resolution, offer to improve services for the public.

## **Complaint statistics**

Our statistics focus on three key areas that help to assess your organisation's commitment to putting things right when they go wrong:

**Complaints upheld** - We uphold complaints when we find fault in an organisation's actions, including where the organisation accepted fault before we investigated. We include the total number of investigations completed to provide important context for the statistic. This year, we also provide the number of upheld complaints per 100,000 population.

**Compliance with recommendations** - We recommend ways for organisations to put things right when faults have caused injustice and monitor their compliance with our recommendations. Failure to comply is rare and a compliance rate below 100% is a cause for concern.

**Satisfactory remedy provided by the authority** - In these cases, the organisation upheld the complaint and we agreed with how it offered to put things right. We encourage the early resolution of complaints and give credit to organisations that accept fault and find appropriate ways to put things right.

Finally, we compare the three key annual statistics for your organisation with similar authorities to provide an average marker of performance. We do this for County Councils, District Councils, Metropolitan Boroughs, Unitary Councils, and London Boroughs.

Your annual data, and a copy of this letter, will be uploaded to our interactive map, <u>Your council's</u> <u>performance</u>, on 24 July 2024. This useful tool places all our data and information about councils in one place. You can find the detail of the decisions we have made about your Council, read the public reports we have issued, and view the service improvements your Council has agreed to make as a result of our investigations, as well as previous annual review letters.

## Your organisation's performance

I note that two cases that were upheld and reported to you in last year's data were re-opened during this year. The cases were again upheld, and these outcomes are recorded in this year's data also.

During the year, we <u>issued a public report</u> about drug and alcohol services your Council commissions from provider Change Grow Live (CGL). Our investigation found fault in the way CGL was prescribing long-term prescriptions of medication used to treat anxiety, insomnia, and mental health issues. The fault caused avoidable uncertainty about the management of clients who were prescribed the medication long-term. During our investigation, CGL agreed to review its prescribing policy and the Council agreed to work with CGL to ensure records were comprehensive and that yearly audits took place. We were satisfied with both organisations' responses to the report and both CGL and the Council worked with us constructively.

Beyond this case, however, it is disappointing that, like last year, I have to again raise the issue of how your Council responds to our office during investigations. Last year, I asked you to work to limit delayed responses, and to be proactive when issues arose that could affect your communication with us.

Unfortunately this year, we have continued to see late responses in a third of cases where we had contacted you with our enquiries. In one case, we were forced to remind you of our powers to issue a witness summons before we received the information we required.

Similarly, we have seen a number of agreed recommendations implemented late during the year. While I welcome that your Council agreed to, and implemented, the recommendations we made in 18 cases during the year, it is disappointing that in five of those cases recommendations were not completed within the agreed timescales. Delays in apologies being given and payments being made to complainants should be straightforward to administer, but in each of the five cases the complainants had to wait long periods before payments were received or apologies made, causing them additional frustration.

I, again, ask that you take action to improve your responses to our enquiries and the timeliness of agreed remedies. If there is any support my office can provide to help improve the situation, please do let me know.

#### Supporting complaint and service improvement

In February, following a period of consultation, we launched the <u>Complaint Handling Code</u> for councils, setting out a clear process for responding to complaints effectively and fairly. It is aligned with the Code issued to housing authorities and landlords by the Housing Ombudsman Service and we encourage you to adopt the Code without undue delay. Twenty councils have volunteered to take part in an implementation pilot over the next two years that will develop further guidance and best practice.

The Code is issued to councils under our powers to provide guidance about good administrative practice. We expect councils to carefully consider the Code when developing policies and procedures and will begin considering it as part of our processes from April 2026 at the earliest.

The Code is considered good practice for all organisations we investigate (except where there are statutory complaint handling processes in place), and we may decide to issue it as guidance to other organisations in future.

Our successful complaint handling training programme continues to develop with new modules in Adult Social Care and Children's Services complaint handling available soon. All our courses include practical interactive workshops that help participants develop their complaint handling skills. We delivered 126 online workshops during the year, reaching more than 1,700 people. To find out more visit www.lgo.org.uk/training or get in touch at training@lgo.org.uk.

Returning to the theme of continuous improvement, we recognise the importance of reflecting on our own performance. With that in mind I encourage you to share your view of our organisation via this survey: <u>https://www.smartsurvey.co.uk/s/ombudsman/</u>. Your responses will help us to assess our impact and improve our offer to you. We want to gather a range of views and welcome multiple responses from organisations, so please do share the link with relevant colleagues.

Yours sincerely,

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